

In re Application of: Jacobson  
Application No.: 10/815,092

Examiner: N. Wright  
Art Unit: 2134

# AMENDMENTS

In the Claims:

Please cancel Claim 1, and add New Claims 13-28, as follows:

13. A method for managing a network compliance policy in a computer network, comprising:
  - storing a set of network compliance policy parameters on a database, the database being coupled to the computer network;
  - monitoring a plurality of electronic transactions from at least one user accessing the computer network;
  - comparing each of the plurality of electronic transactions with the set of network compliance policy parameters;
  - preparing a network compliance policy violation report when one of the plurality of electronic transactions matches one of the network compliance policy parameters;
  - filing the network policy compliance violation report;
  - conducting an appeals process upon request from the at least one user;
  - establishing an appeal report, the appeal report being based on the appeals process; and
  - taking action on the at least one user based on the network policy compliance violation report and the appeal report.

In re Application of: Jacobson  
Application No.: 10/815,092

Examiner: N. Wright  
Art Unit: 2134

14. The method of Claim 13, wherein the set of network compliance policy parameters comprises parameters related to ethical, business and personnel parameters.

15. The method of Claim 14, wherein the ethical, business and personnel parameters comprises:

- network hardware parameters;
- electronic mail parameters;
- Internet parameters;
- software parameters;
- document management parameters; and
- network security parameters.

16. The method of Claim 14, further comprising providing a means for updating the set of network compliance policy parameters.

17. The method of Claim 16, wherein the means for updating comprises:

- periodically reviewing the set of network compliance policy parameters;
- determining the viability of each of the network compliance policy parameters; and
- adjusting the set of network compliance policy parameters based on the determination of viability.

In re Application of: Jacobson  
Application No.: 10/815,092

Examiner: N. Wright  
Art Unit: 2134

18. The method of Claim 13, wherein the set of network compliance policy parameters is generated by the steps of:

providing a preliminary set of network compliance policy parameters;

receiving a modified set of network compliance policy parameters from one of the users;

providing the modified set of network compliance policy parameters to each of the users; and

receiving the network compliance policy parameters.

19. The method of Claim 13, wherein the electronic transactions includes transactions using network hardware.

20. The method of Claim 13, wherein the electronic transactions includes electronic mail messages.

21. The method of Claim 13, wherein the electronic transactions include Internet activities.

22. The method of Claim 13, wherein the electronic transactions includes transactions using network software.

In re Application of: Jacobson  
Application No.: 10/815,092

Examiner: N. Wright  
Art Unit: 2134

23. The method of Claim 13, wherein the electronic transactions includes network security activities.

24. The method of Claim 13, wherein the appeals process further comprises:  
transmitting the network compliance policy violation report to the at least one user;  
receiving an appear request from the user;  
determining whether a network compliance policy parameter was violated;  
and  
recommending a course of action based on the determination.

25. The method of Claim 13, wherein the network policy compliance violation report for one of the users is added to a list of network policy compliance violation reports of that user.

26. The method of Claim 25, further comprising taking action on that user based on the network policy compliance violation report, the appeal report and the list of network policy compliance violation reports of that user.

27. The method of Claim 13, wherein a preliminary set of network compliance policy parameters is based on the network policy compliance violation report.

JUL. 24. 2006 3:39PM

NO. 2168 P. 9

In re Application of: Jacobson  
Application No.: 10/815,092

Examiner: N. Wright  
Art Unit: 2134

28. The method of Claim 13, wherein a preliminary set of network compliance policy parameters is based on the appeal report.